

Review article

Pathophysiological and Diagnostic Aspects of Sarcopenia in Hemodialysis Patients

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Abstract

Chronic kidney disease and renal replacement therapy, particularly hemodialysis, contribute to the development of negative protein balance and muscle dysfunction in dialysis patients, from the development of protein-energy malnutrition to sarcopenia. Due to multifactorial etiology and complex pathophysiological patterns, sarcopenia has proven to be a significant predictor of cardiovascular events and is associated with a higher risk of overall mortality. Screening methods of chronic kidney patients and patients on hemodialysis who are at higher risk of developing sarcopenia, as well as diagnostic methods for this group of patients are not clearly defined, hence methods used for the general population of elderly patients, especially based on the revised European consensus on definition and diagnosis of sarcopenia of the European Working Group on Sarcopenia in Older People (EWGSOP2), are utilized in this subpopulation as well. Therefore, there is a need to define new biomarkers of sarcopenia such as the existing 24h urine excretion of creatinine, a product of estimated glomerular filtration of cystatin C and creatinine or myostatin and their use in routine work with dialysis patients to identify this condition among them and reduce morbidity and mortality.

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Introduction

The word sarcopenia comes from the Greek $\sigma\alpha\rho\xi$ (sarx) which translates to flesh and $\pi\epsilon\nu\lambda\alpha$ (penia) meaning loss. It was first introduced in 1989 by Irwin Rosenberg to describe the loss of muscle mass with aging leading to a reduced quality of life as a result of a higher risk of falls, fractures, hospitalizations and ultimately death (1). People suffering from this are at a higher risk of developing adverse outcomes due to bone and mineral disorder as a result of secondary hyperparathyroidism, then the higher rate of depression as a cause of development of anorexia and due to socioeconomic factors, affecting the quality of life that is significantly impaired in dialysis patients. It is estimated that the loss of muscle mass is 1–2% and muscle strength is 1.5–5% per year after the age of 50, primarily the musculature of the lower extremities (2), although muscle volume can be preserved due to myosteatosis and myofibrosis, which will be discussed below. In 1931, Mr. Critchley Macdonald, a British neurologist, was the first to associate aging with a decrease in skeletal muscle mass (3). According to Delmonico et al. in 1,678 subjects after the age of 70, the annual rate of muscle area reduction was $4.9\pm 7.4\%$ in men and $3.2\pm 7.9\%$ in women (4). The prevalence of sarcopenia varies widely in predialysis chronic renal patients of 6–14% (5, 6) and increases in patients on hemodialysis (HD) 4–64% (7, 8), without firm consensus on the prevalence of uremic sarcopenia, although some data suggest the prevalence of uremic myopathy of 50% (9). According to the meta-analysis of Wathanavasin et al., the highest prevalence of sarcopenia is in Europe (29.1%) and the lowest in the US (15.4%) (10). This meta-analysis also showed that sarcopenia is less diagnosed before the hemodialysis procedure (21.5%) compared to after hemodialysis (27.8%), primarily due to volume status, but without statistical significance. Sarcopenia has also been shown to be one of the most important predictors of cardiovascular events (OR 3.80 – 95% CI 1.79–8.09) with a high mortality risk (OR 1.83 – 95% CI 1.40–2.39). Low muscle strength and mass are independent factors of increased

mortality in patients on hemodialysis (OR 1.71 – 95% CI 1.20–2.44), all the more so, if they are associated (11).

Pathophysiology and etiology of sarcopenia

Knowledge of the physiology and histology of skeletal musculature is necessary for understanding the pathophysiology and histopathological changes within chronic kidney disease (CKD) and the uremic state. Skeletal muscles belong to the group of transversely striped muscle tissue made of muscle fibers (actin, myosin), i.e. muscle cells that function as syncytium and are formed by fusion of myoblasts – precursor cells (12). Skeletal muscle stem cells play a key role in muscle regeneration. Various stimuli such as exercise, injury, stretching, etc. can activate these cells that are located between the plasma membrane and the basal lamina leading to asymmetric differentiation into myoblasts responsible for tissue regeneration and pluripotent stem cells responsible for self-renewal. Myoblast differentiation requires primary myogenic regulatory factors Myf5 and MyoD, as well as secondary – myogenin and myogenic regulatory factor 4 – MRF4 (13, 14). Muscle fibers can be classified into three groups according to the isoform of the myosin heavy chain – slow oxidative red fibers (type I), fast oxidative red fibers (type IIA) and fast glycolytic white fibers (type IIX) that were represented in different proportions in skeletal muscles depending on muscle function (15). Various factors are associated with histopathological changes in skeletal muscle in patients with advanced, i.e. terminal chronic kidney disease – especially a condition of chronic inflammation, which shows the replacement of muscle fibers with adipose tissue – myosteatosis, or fibrous tissue – myofibrosis and are a reflection of muscle dysfunction (16). In a study conducted on 60 patients on hemodialysis, the cross-section of muscle fibers was higher compared to the control group, which is explained by the development of interstitial edema in the interdialytic period, but the results also showed reduced oxidative capacity due to dysfunction

of the enzyme succinate dehydrogenase and mitochondrial edema with a reduced network of capillaries (17). Uremic toxins such as indoxyl sulfate, p-crescol and inorganic phosphorus, can impair myogenous differentiation in vitro and promote muscular atrophy in mice with CKD by promoting mitochondrial dysfunction (18, 19).

Development of sarcopenia and etiology of muscle dysfunction of patients on hemodialysis is multifactorial and arises from kidney disease itself, hemodialysis procedure and chronic inflammatory response, which contributes to reduced protein synthesis and increased degradation (20) (see Figure 1).

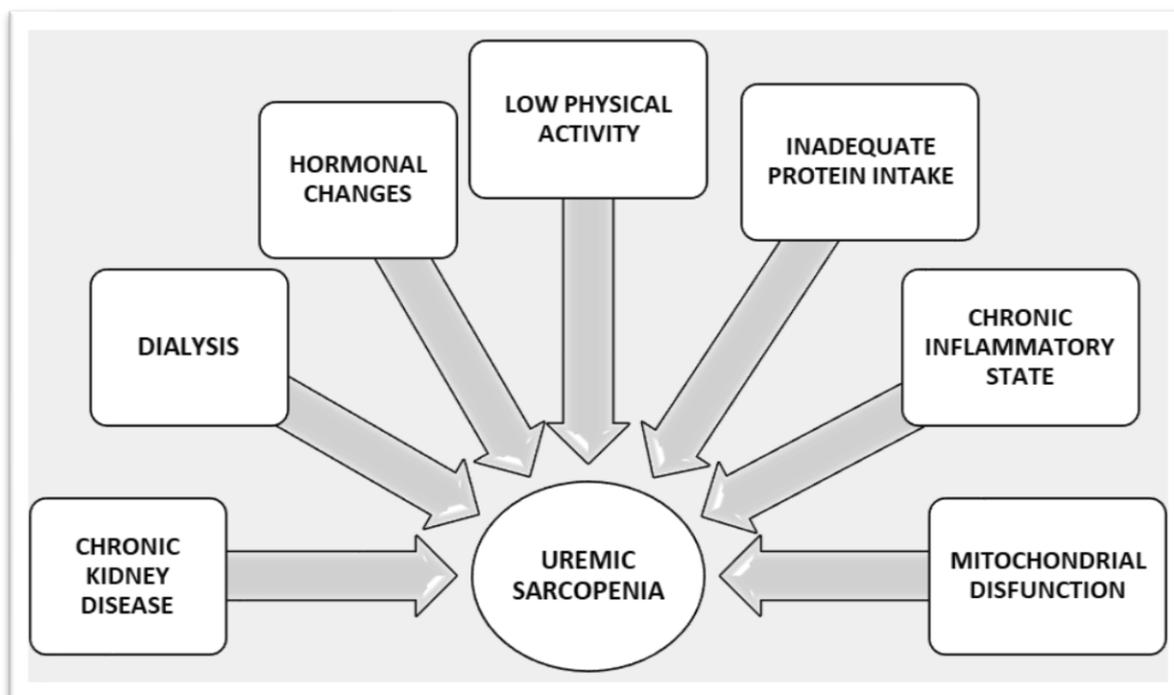


Figure 1. Etiological factors involved in the development of uremic sarcopenia

The development of metabolic acidosis in CKD, vitamin D deficiency and insulin resistance also contribute to the negative protein balance. Metabolic acidosis activates caspase-3 and the ubiquitin-proteasome system – two intracellular pathways responsible for protein degradation and promoting insulin resistance and growth hormone resistance (13, 21). 1,25(OH)₂ vitamin D as an active form of vitamin D binds to vitamin D receptors in human muscle tissue, especially to C2C12 myoblasts, and causes reduced cell proliferation and promotes myogenic differentiation by MyoD expression and myostatin suppression (22). Vitamin D deficiency also leads to reduced insulin secretion from pancreatic beta cells promoting insulin resistance (23, 7). Insulin-like growth factor 1 (IGF-1) shares a common intracellular pathway in protein synthesis with insulin, activating mTORC1 via PI3K (phosphatidylinositol-3-

kinase)/Act leading to phosphorylation of FOXO proteins and inhibiting their translocation into the nucleus. IGF-1 suppresses both Atrogin-1 and MuRF1 (ubiquitin ligase) which antagonizes skeletal muscle catabolism (24, 25). In patients on hemodialysis, the concentration of testosterone is reduced, which leads to the expression of myostatin and alteration of the IGF-1 signaling pathway (26). Myostatin is a protein that negatively affects the growth of muscle tissue through three signaling pathways. Via activin, IIB receptor activates Smad2/Smad3 and dephosphorylation Act thus disrupting the IGF-1 signaling pathway previously specified. It can also inhibit mTORC1 and induce apoptosis via the p38-caspase pathway (27).

The HD procedure also contributes to the negative balance of protein metabolism. During the HD process, a significant loss of proteins and

amino acids (> 5 g) was observed more pronounced at the beginning and end of the procedure itself (28). Patients with CKD, especially patients on HD, have a decreased appetite due to the action of uremic toxins, chronic inflammation and hormonal disorders – primarily by a decrease in ghrelin and neuropeptide Y, and an increase in leptin (29). Chronic inflammatory condition of patients on HD is associated with the use of biocompatible membranes (30) and the role of the gastrointestinal tract or intestinal dysbiosis is increasingly mentioned. Namely, due to dietary restrictions with reduced fiber intake, protein fermentation and exposure to endotoxins that induce the pro-inflammatory state and disrupt the gastrointestinal barrier increases (31, 32). Pro-inflammatory cytokines such as IL-6 and TNF- α reduce the suppression of SOCS-3 protein through the activation of caspase-3 and the ubiquitin-proteasome system. IL-6 also stimulates the expression of Stat3 responsible for the expression of myostatin and leads to muscle degradation (33, 34). The production of pro-inflammatory mediators is induced by the formation of advanced glycation end products (AGE) that accumulate in patients with CKD due to reduced renal clearance and increased production (non-enzymatic changes in protein or lipid amino acids that are reduced by exposure to sugars or their metabolites) and lead to oxidative stress, insulin resistance and endothelial dysfunction (35, 36). Yabuuchi et al. showed that the accumulation of AGE in the muscle tissue of mice leads to mitochondrial dysfunction (by reducing the activity of succinate dehydrogenase and PGC1- α and reducing the density of the capillary network) (37). Furthermore, AGE can impair muscle function through associated membrane RAGE receptors whose activity reduces the expression of myogenin (38), impairs the distribution of muscle fibers and increases the rigidity of muscle connective tissue (39). These etiological factors contribute to negative protein balance that can eventually lead to the decline of muscle mass, muscle strength and lower physical activity because patients on HD are at a higher risk of developing sarcopenia compared to the

general population and these changes occur at an earlier age.

Diagnosis of sarcopenia and associated conditions

Skeletal musculature is mostly made of protein and it is the best indicator of protein status. The development of protein-energy malnutrition (PEM) – a term presented in 2007 by the International Society of Renal Nutrition and Metabolism (ISRNM), occurs due to various pathophysiological mechanisms, that include nutritional and metabolic disorders in patients with chronic kidney disease and lead to the development of a state of chronic muscle and adipose tissue catabolism (40, 41). It is associated with chronic inflammation, uremia, anorexia due to decreased appetite, hypoalbuminemia, muscle loss with weight loss or no weight loss and poor clinical outcome. Due to PEM, there is a reduction in protein supplies and energy sources in patients with different CKD stages, leading to a decrease in the functional abilities of the patient. Malnutrition, on the other hand, is an imbalance of energy intake, protein and other nutrients that leads to measurable undesirable effects on tissues and physical functions and can be presented with malnutrition, but also overfeeding (42–45), so we can find it in obese patients too. Such a physiological state can lead to cachexia – a syndrome manifested by severe muscle loss with or without loss of fat tissue (46) and sarcopenia, which, according to the consensus of the EWGSOP2 defines as „a progressive and generalized muscle disorder characterized by low muscle power and reduced quality and quantity of muscle mass“ (47). Quantitative changes are related to the loss of muscle mass and volume, and qualitative to the loss of muscle strength and physical activity (48). In these revised guidelines, the emphasis is on muscle strength because it has a better predictive value for the occurrence of adverse outcomes. If the patient meets the criterion of low muscle strength, it is probably sarcopenia, i.e. presarcopenia, if it meets the second criterion of low muscle quality or quantity, the diagnosis can be confirmed by sarcopenia, and if it meets the third criterion of low physical activity,

sarcopenia is considered severe. According to the International Working Group on Sarcopenia (IWGS), sarcopenia is characterized by low skeletal muscle mass (with an increase in body fat) and decreased muscle function (49). EWGSOP2 consensus provides a broader definition by dividing muscle strength from muscle activity and distinguishes severe sarcopenia.

Sarcopenia can be divided into primary (age-related) when there is no other evident cause and secondary in systemic diseases especially those that can cause inflammatory processes including CKD, conditions of reduced physical activity (sedentary lifestyle, in bedridden patients) and some nutritional factors such as gastrointestinal diseases, malabsorption syndrome, insufficient food intake (9). The main difference between these two groups is that the primary group occurs over a continuous period

and is more pronounced after the age of 50, while the secondary is more intense and depends on the conditions that cause it (50). Unlike primary sarcopenia, sarcopenia in chronic kidney patients is characterized by more pronounced protein degradation. EWGSOP2 subcategorizes sarcopenia into acute if it lasts less than 6 months and chronic if it lasts 6 months and more. Such a division places emphasis on periodic assessments of sarcopenia in patients at increased risk (47). In elderly patients, a condition of sarcopenic obesity can be observed, which is presented by reduced muscle mass due to adiposity (51).

For the diagnosis of sarcopenia, a multitude of different tests and methods can be used, the choice of which depends on the patient, the technical availability and the purpose of testing (whether we are examining the progression of the condition or recovery) – see Figure 2.

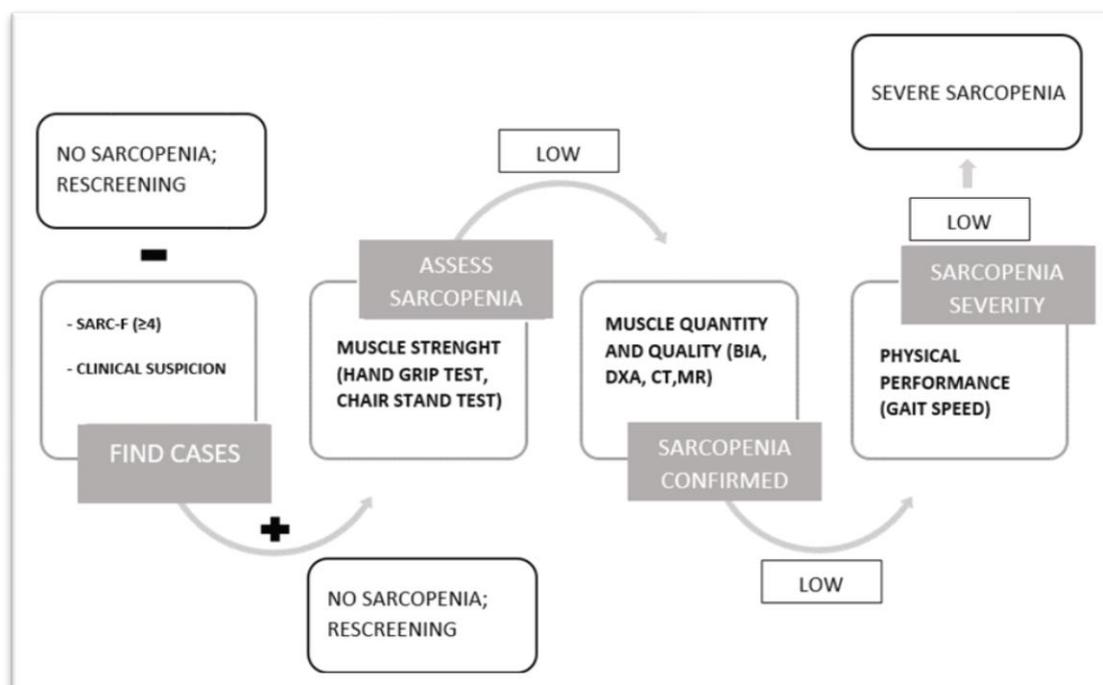


Figure 2. Sarcopenia diagnostic algorithm – adapted according to EWGSOP2

Level of strength, assistance with walking, rising from a chair, climbing stairs and falls are criteria in the 5-item questionnaire (SARC-F) as a screening method for patients with sarcopenia. It includes the patient's subjective attitude about the ability to walk, get up from a chair, climb

stairs, strength level, and the existence of falls in the past year. The questionnaire has high specificity in predicting low muscle strength and will detect more severe cases as such (52, 53). If the test is positive (SARC-F ≥ 4), the first criterion – muscle strength – is examined. To test muscle

strength, the strength of the handshake can be measured with a Jamar dynamometer, which is a simple and inexpensive method and correlates with the strength of other body regions, such as the strength of the upper and lower extremities (54, 55) and should be measured before the hemodialysis procedure (56, 57). The meta-analysis by Hwang et al. showed that people with low muscle strength had a 1.88 times higher risk of overall mortality (58). Vogt et al. suggested cut-off values that would suggest higher mortality in patients on HD, <7 kg in women and <22.5 kg in men (59), while according to EWGSOP2, values <16 kg for women and <27 kg for men. In addition to testing the strength of the handshake, the test of getting up from the chair can be used, which predominantly examines the strength of the muscles of the lower extremities (quadriceps). The test measures the time it takes a patient to get out of the chair 5 times without arm support and the time > 15 s is considered significant. If these tests determine reduced muscle strength, it can be said that sarcopenia is probable and confirmed by testing muscle quality or quantity. Muscle quantity can be shown as appendicular muscle mass (AMM), total skeletal muscle mass (SMM) and cross-section of a specific muscle group. Computed tomography (CT) and magnetic resonance imaging (MRI) are considered the gold standards in non-invasive assessment of muscle mass and myosteatosis (60, 61), but they are not widely used due to expensiveness, radiation exposure and necessary logistics. Alternative methods include densitometry (DXA) and bioelectrical impedance analysis (BIA) which can assess the total and appendicular muscle mass and in the case of BIA and nutritional status of patients on HD with better evaluation of intracellular and extracellular fluid (62, 63) which should be done after the HD procedure. The absolute values of SMM and AMM can be modified according to weight (AMM/weight), height square (AMM/height²) or body mass index (AMM/BMI). The cut-off values for these methods are according to EWGSOP2 AMM/height² <7 kg/m² for men and <5.5 kg/m² for women. The method that can be done at the patient's bedside is an ultrasound measurement of the thickness of the quadriceps femoris

muscle (rectus femoris and vastus intermedius) which correlates with nutritional status (9). If any method determines low muscle quality or quantity, we can talk about the existence of sarcopenia whose weight is additionally assessed by the analysis of physical activity. Most often, the walking speed test is used. The cut-off value is ≤ 0.8 m/s. The test is performed by measuring time at a distance of 4 m, walking at the usual walking speed (64, 65).

Diagnostic challenges of sarcopenia in CKD/dialysis patients

Although the diagnostic criteria of sarcopenia are well-defined in the general population, there is no consensus on the diagnosis of uremic sarcopenia in dialysis patients. Most studies apply definitions relating to the elderly population leading to the heterogeneity of the results of the prevalence of sarcopenia in the dialysis population as previously stated. There is a risk of underestimating the prevalence of low muscle mass if in obese patients SMM is modified according to the square of height and in such patients, there is a better modification according to body mass index (66). Furthermore, several studies have shown that low muscle mass in dialysis patients is not associated with increased mortality unlike low muscle strength and low muscle activity defined by a weak hand-grip test and slow gait speed (67, 68), and using this approach overlooks patients only with low muscle strength. This is supported by the KDOQI (Kidney Disease Outcomes Quality Initiative) guidelines according to which hand grip strength is useful in assessing protein energy wasting because the use of BIA and DEXA is determined by volume status, so patients who are in overvolemia or with early changes in muscle structure would be misdiagnosed (69). Fahal et al. (70) examined the contractile properties and muscular strength of dialysis patients with muscle quadriceps biopsy. The main difference between this group of patients and the control was poor muscle relaxation, which can affect muscle strength independently of muscle mass, which is supported by the fact

that 45% of patients had type I and 40% of type II muscle fibers atrophy and fibers type II were significantly lower in malnourished patients, which again shows that muscle mass is not the only determinant of muscle strength. Pereira et al. (71) define sarcopenia in CKD patients treated conservatively by reduced muscle function (hand grip strength – 30% percentile of the reference population), reduced muscle mass (measuring mid-arm muscle circumference) and reduced skeletal musculature index according to bioimpedance analysis (<6.76 kg/m² in women and <10.76 kg/m² in men). Furthermore, if low muscle mass is confirmed, patients may be in pronounced muscle wasting. Therefore, in the assessment of sarcopenia in dialysis patients the focus should be on the components of low muscle strength and physical activity and such patients should be encouraged to change their lifestyle habits, diet and exercise for the preservation of muscle mass and muscle function.

In addition to the aforementioned tests for diagnosis and monitoring of patients with sarcopenia, there was a need to define certain biomarkers for early detection of sarcopenia in patients with CKD. As an endogenous metabolite of skeletal muscle, the determination of creatinine excretion in 24h urine is justified in the assessment of muscle mass (72, 73) with a lack of adequate collection of all-day urine in certain patients. This problem was attempted to be solved by determining a new sarcopenia index – the product of the estimated glomerular filtration of cystatin C and creatinine (74). In the study of Lin et al., this index was independently associated with muscle strength, mass and walking speed in patients with CKD (75). Measurement of serum concentration of myostatin as a muscle consumption biomarker was also considered but with conflicting results of studies due to the influence of age, gender, inflammatory conditions, physical activity and metabolic syndrome. Myostatin values are generally higher in patients with CKD, i.e. patients on HD with greater muscle strength and muscle mass compared to healthy individuals (27, 76).

Conclusions

Chronic kidney disease and renal replacement therapy, particularly hemodialysis, contribute to the multifactorial etiology of sarcopenia negative protein-energy balance and increased mortality of chronic kidney patients. Adequate screening systems and diagnostic methods are crucial in the early recognition of patients at elevated risk. Diagnostic tests and methods in the assessment of sarcopenia should be adapted to the conditions in which they are performed, patient groups and systematic monitoring. In conditions of unavailability of tests and methods adapted to dialysis patients, existing methods of assessing muscle strength, muscle quality and quantity, and physical activity can be used according to the EWGSOP2 group of experts with a tendency to find new biomarkers of sarcopenia. Due to the complex pathophysiology of protein catabolism, it is expected to find biomarker panels and their application in the stratification of heterogeneous groups of patients such as patients with CKD with the aim of adequate action and prevention of premature morbidity and mortality. The emphasis should be put on the fact that sarcopenia is a modifiable risk factor for adverse outcomes in CKD patients, using preventive nutritional measures based on data obtained by existing diagnostic methods and tests, so it should be further studied and adequately diagnosed and treated.

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